

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT:	LLOYD ET AL.	)	
SERIAL NO.:	10/057,198	)	EXAMINER: MENDOZA, M.G.
FILED:	OCTOBER 26, 2001	)	ART UNIT: 3734
TITLE:	METHOD AND DEVICE FOR DELIVERING A PHYSIOLOGICALLY ACTIVE COMPOUND	)	CONFIRMATION NO.: 1707

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies of required documents enclosed, which may be material to examination of the above identified application.

**TIME OF TRANSMITTAL**

This Information Disclosure Statement is being submitted under 37 CFR § 1.97(c). This Statement is filed after three months from the filing date of the national application, after three months from the date of entry into national stage under 37 CFR § 1.491, after the mailing of a first Office Action on the merits, or after the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114, but before the mailing date of any of:

- (a) a final action under 37 CFR § 1.113;
- (b) a notice of allowance under 37 CFR § 1.311; or
- (c) an action that otherwise closes prosecution in the application.

Consistent with our previous disclosures, in this application, the attached Form PTO-1449 includes an Office Action and the references cited therein, in related applications USSN 10/057,197. In addition, the Form PTO-1449 includes three Office Actions received in USSN 10/146,080, and the references cited therein, and an Office Action received in USSN 10/146,086. Finally, a number of pending application owned by the assignee of this application

are also being disclosed. This updates the list of such applications that were previously disclosed.

The Director is hereby authorized to charge the fee of \$180.00 in accordance with 37 CFR §§1.97(c) and 1.17(p), and any deficiency, or credit any overpayment to Deposit Account No. 19-5117.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Date November 22, 2006

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<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  List of Information Cited by Applicant Page 1 of 2	<b>ATTY. DOCKET NO.</b> 0006.01R	<b>SERIAL NO.</b> 10/057,198
	<b>APPLICANT</b> LLOYD ET AL.	
	<b>FILING DATE</b> OCTOBER 26, 2001	<b>GROUP</b> 3734

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	AA	3,219,533	11/23/1963	Mullins			
	AB	3,560,607	02/02/1971	Hartley et al.			
	AC	4,276,243	06/30/1981	Partus			
	AD	4,924,883	05/15/1990	Perfetti et al.			
	AE	5,049,389	09/17/1991	Radhakrishnan			
	AE	5,135,009	08/04/1992	Muller et al.			
	AG	5,144,962	09/08/1992	Counts et al.			
	AH	5,564,442	10/15/1996	MacDonald et al.			
	AI	5,738,865	04/14/1998	Baichwal et al.			
	AJ	5,829,436	11/03/1998	Rubsamen et al.			
	AK	5,874,481	02/23/1999	Weers et al.			
	AI	6,041,777	03/28/2000	Faithfull et al.			
	AM	6,051,566	04/18/2000	Bianco			
	AN	6,155,268	12/05/2000	Takeuchi			
	AO	6,158,431	12/12/2000	Poole			
	AP	6,241,969	06/05/2001	Saidi et al.			
	AQ	6,255,334	07/03/2001	Sands			

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EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
	AR	EP 0 606 486	07/20/1994	Europe			
	AS	EP 1 080 720	03/07/2001	Europe			
	AT	WO 97/36574	10/09/1997	WIPO			
	AU	WO 98/31346	07/23/1998	WIPO			
	AV	WO 00/27363	05/18/2000	WIPO			
	AW	WO 02/24158	03/28/2002	WIPO			
	AX	WO 03/37412	05/08/2003	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
AY	Office Action mailed 09/21/2006 with respect to U.S. Appl. No. 10/057,197			
AZ	Office Action mailed 02/27/2004 with respect to U.S. Appl. No. 10/146,080			
AAA	Office Action mailed 08/25/2005 with respect to U.S. Appl. No. 10/146,080			
AAAB	Office Action mailed 06/05/2006 with respect to U.S. Appl. No. 10/146,080			
AAC	Office Action mailed 12/13/2005 with respect to U.S. Appl. No. 10/146,086			
AAD				
EXAMINER				
DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  List of Information Cited by Applicant Page 2 of 2	<b>ATTY. DOCKET NO.</b>		<b>SERIAL NO.</b>
	0006.01R		10057,198
	<b>APPLICANT</b>		
	LLOYD ET AL.		
	<b>FILING DATE</b>		<b>GROUP</b>
	OCTOBER 26, 2001		3734

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	BA	6,506,762	01/14/2003	Horvath et al.			
	BB	6,514,482	02/04/2003	Bartus et al.			
	BC	6,701,922	03/09/2004	Hindle et al.			
	BD	6,772,756	08/10/2004	Shayan			
	BE	US 2001/0020147	09/06/2001	Staniforth et al.			
	BF	US 2002/0037828	03/28/2002	Wilson et al.			
	BG	US 2002/0058009	05/16/2002	Bartus et al.			
	BH	US 2002/0086852	07/04/2002	Cantor			
	BI	US 2002/0112723	08/22/2002	Schuster et al.			
	BJ	US 2002/0117175	08/29/2002	Kottayil et al.			
	BK	US 2002/0176841	11/28/2002	Barker et al.			
	BL	US 2003/0004142	01/02/2003	Prior et al.			
ALEXZA U.S. PATENT DOCUMENTS							
	BM	7,045,119	05/16/2006	Rabinowitz et al.			
	BN	11/370,628	03/07/2006	Rabinowitz et al.			
	BO	11/385,992	03/21/2006	Rabinowitz et al.			
	BP	11/398,383	04/04/2006	Rabinowitz et al.			
	BQ	11/439,475	05/23/2006	Rabinowitz et al.			
	BR	11/442,917	05/30/2006	Rabinowitz et al.			
	BS	11/451,852	06/13/2006	Rabinowitz et al.			
	BT	11/451,853	06/13/2006	Rabinowitz et al.			
	BU	11/454,573	06/16/2006	Rabinowitz et al.			
	BV	11/479,361	06/30/2006	Rabinowitz et al.			
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	BY	11/481,279	07/05/2006	Rabinowitz et al.			
	BZ	11/488,302	07/18/2006	Rabinowitz et al.			
	BAA	11/488,932	07/18/2006	Rabinowitz et al.			
	BBB	11/488,943	07/18/2006	Rabinowitz et al.			
	BCC	11/500,735	08/07/2006	Rabinowitz et al.			
	BDD	11/500,736	08/07/2006	Rabinowitz et al.			
	BEE	11/501,246	08/07/2006	Rabinowitz et al.			
	BEF	11/504,419	08/15/2006	Hale et al.			
	BGG						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
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